

Summary Statement holding of specified securities

Category of shareholder (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of (A+B+C)
								No of Voting (XIV) Rights	Class eg: X	
(A)	Promoter & Promoter Group	4	16112914			16112914	51.47	16112914	16112914	51.47
(B)	Public	14141	15195197			15195197	48.53	15195197	15195197	48.53
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee									

For Rachi Segal and Co. Chartered Accountants
Ravi Narain
 Director

10/10/17

Trusts	14145	31308111	31308111	100	31308111	100
Total						

Summary Statement holding of specified securities

Category of shareholder (I)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
Promoter & Promoter Group (A)				51.47	16112914	100	16112914	16112914	
Public (B)				48.53					14624504

For Ratification and records

 Director

Non Promoter- Non Public

(C)

Shares underlying DRs

(C1)

Shares held by Employee Trusts

(C2)

Total

100

16112914 51.47 30737418

For Ruth Stern and Howard Lee

Ruth Stern
Director

Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting rights
								Class X	Class eg: equity	
A	Indian									
(1)	Individuals/Hindu undivided Family	2	8245219			8245219	26.34	8245219	8245219	26.34
(d)	Any Other (specify)	2	7867695			7867695	25.13	7867695	7867695	25.13
(2)	Foreign	4	16112914			16112914	51.47	16112914	16112914	51.47
	Sub-Total (A)(1)									

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

For Ratih Steel And Power Ltd.,
Ravi Sharma
 Director

Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)

B 4 16112914 16112914 51.47 16112914 16112914 51.47

Table III - Statement showing shareholding pattern of the Public shareholder

(1)	Institutions						
(a)	Mutual Funds	1	686913	686913	2.19	686913	2.19
(f)	Financial Institutions/ Banks	4	2061435	2061435	6.58	2061435	6.58
(3)	Sub-Total (B)(1)	5	2748348	2748348	8.78	2748348	8.78
(a(i))	Non-institutions						
	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	13833	6652796	6652796	21.25	6652796	21.25
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	43	3671072	3671072	11.73	3671072	11.73

For Ratia Steel And Power Ltd.

Ranjana Sharma
Director

(b)	NBFCs registered with RBI	1	3000	3000	0.01	3000	0.01
(e)	Any Other (specify)	259	2119981	2119981	6.77	2119981	6.77
	Sub-Total (B)(3)	14136	12446849	12446849	39.76	12446849	39.76
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	14141	15195197	15195197	48.53	15195197	48.53
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder						
	Total (A+B+C2)	14145	31308111	31308111	100	31308111	100
	Total (A+B+C)	14145	31308111	31308111	100	31308111	100

Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying convertible securities (X)		No. Of Shares Underlying convertible securities and No. Of Warrants (XI) (a)		Shareholding, as a % assuming full conversion of convertible securities (as a % of total Shares		No. Of Underlying convertible securities (XI) (a)		No. Of Shares Underlying convertible securities and No. Of Warrants (XI) (a)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
	No. Of Shares Underlying convertible securities (X)	No. Of Shares Underlying Warrants (XI)	No. Of Shares Underlying convertible securities and No. Of Warrants (XI) (a)	% of total Shares	No. Of Shares Underlying convertible securities (XI) (a)	% of total Shares	No. (a)	% of total Shares	No. (a)	% of total Shares	No. (a)	% of total Shares	No. (a)	% of total Shares

Per Ratna Steel And Power Li.
Ratna Mann
 Director

percentage of diluted share capital (XI) = (VII)+(X) As a % of (A+B+C2)

held (b)

held (b)

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

A	Indian		
(1)			
(a)	26.34	8245219	100
(d)	25.13	7867695	100
Sub-Total (A)(1)	51.47	16112914	100
(2)	Foreign		
Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)	51.47	16112914	100
			16112914

Table III - Statement showing shareholding pattern of the Public shareholder

B	Institutions		
(1)			
(a)	2.19	686913	
(f)	6.58	2061435	
Sub-Total (B)(1)	8.78	2748348	

For Ratih Steel And Power Ltd.

Ram Mohan
Director

(3)	Non-institutions		
(a(i))		21.25	6133117
(a(ii))		11.73	3671072
(b)		0.01	3000
(c)		6.77	2068967
Sub-Total (B)(3)		39.76	11876156
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		48.53	14624504

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Total (A+B+C2)		100	30737418
Total (A+B+C)		100	16112914 51.47 30737418

Individuals/Hindu undivided Family

Serial No.	1	2		Click here to go back
Name of the Shareholders (I)	UDIT RATHI	PRADEEP KUMAR RATHI		
PAN (II)	ADUPR1460J	AECPR8688B	Total	

For Risky Steel And Power Ltd.,

Ram Narain
Director

No. of fully paid up equity shares held (IV)	740231	7504988	8245219
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	740231	7504988	8245219
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.36	23.97	26.34
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	740231	7504988	8245219
Class eg:y			
Total	740231	7504988	8245219
Total as a % of Total Voting rights	2.36	23.97	26.34
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of			

PGT Rathi Steel And Power Ltd.

 Director

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Warrants (Xi) (a)

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(Xi)(a) As a % of (A+B+C2)

	2.36	23.97	26.34
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Number of Locked in shares (XII)

No. (a)

As a % of total Shares held (b)

Number of Shares pledged or otherwise encumbered (XIII)

No. (a)	740231	7504988	8245219
As a % of total Shares held (b)	100	100	100
Number of equity shares held in dematerialized form (XIV)	740231	7504988	8245219
Reason for not providing PAN			
Reason for not providing PAN			

Serial No.

1 2

Any Other (specify)

For Ratia Steel And Power Ltd.

Ravi Sharma
Director

Click here to go back

Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ARCHIT SECURITIES PVT LTD	DBG LEASING AND HOUSING LIMITED	
PAN (II)	AAACA7849J	AAACD0473F	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	4035223	3832472	7867695
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+(VI)	4035223	3832472	7867695
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.89	12.24	25.13
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	4035223	3832472	7867695
Class eg: Y			

For Rashi Steel And Power Ltd.

Ravi Kumar
Director

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Total	4035223	3832472	7867695
Total as a % of Total Voting rights	12.89	12.24	25.13
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VI)+(X) As a % of (A+B+C2)	12.89	12.24	25.13
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)	4035223	3832472	7867695
As a % of total Shares held (b)	100	100	100

For Bata's Steel And Power Ltd.,

Ram Sharni
Director

Number of equity shares held in dematerialized form (XIV) 4035223 3832472 7867695

Reason for not providing PAN

Mutual Funds

Serial No.	Name of the Shareholders (I)	LIC MF SPECIAL UNIT SCHEME	Click here to go back
1		AAATL1207G	Total
	No. of fully paid up equity shares held (IV)	686913	686913
	No. Of Partly paid-up equity shares held (V)		
	No. Of shares underlying Depository Receipts (VI)		
	Total nos. shares held (VII) = (IV)+(V)+(VI)	686913	686913
	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.19	2.19
	Number of Voting Rights held in each class of securities (IX)		
	Class eg: X	686913	686913

For Ratih Steel And Power Ltd.
R. Kumar
Director

Class eg:y			
Total	686913	686913	2.19
Total as a % of Total Voting rights			2.19
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (XI)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		2.19	2.19
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)		686913	686913
Reason for not providing PAN			
Reason for not providing PAN			

PCF Rath Steel And Power Ltd.
Ravi Kumar
 Director

Financial Institutions/ Banks

Serial No.	1	2	3	
Name of the Shareholders (I)	DENA BANK - INVESTMENT BANK	PUNJAB NATIONAL BANK	SYNDICATE BANK	Click here to go back
PAN (II)	AAACD4249B	AAACP0165G	AACCS4699E	Total
No. of fully paid up equity shares held (IV)	369161	486676	1091536	1947373
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	369161	486676	1091536	1947373
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.18	1.55	3.49	6.22
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	369161	486676	1091536	1947373

For Ratna Steel And Power Ltd.
Ratna Sharma
 Director

Class egy	369161	486676	1091536	1947373
Total				
Total as a % of Total Voting rights	1.18	1.55	3.49	6.22
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.18	1.55	3.49	6.22

For Ratna Steel And Power Ltd.

Ram Narain

Director

10/11/2014

Number of Locked in shares (XII)

No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	369161	486676	1091536	1947373
Reason for not providing PAN				
Reason for not providing PAN				

For Bank of India and Bank of Baroda
Ravi Narain
Director