

Introductory sub-table (I)(a)

Name of the Company: RATHI STEEL AND POWER LIMITED

Scrip Code: 504903 Name of the scrip: RATHI STEEL POWER LIMITED class of security: EQUITY

Quarter ended: 30-09-2015

Partly paid-up shares:- No. of partly paid-up shares As a % of total no. of partly paid-up shares As a % of total no. of shares of the company

Held by promoter/promoter group			
Held by public			
Total	0		0

Outstanding convertible securities:- No. of outstanding convertible securities As a % of total no. of outstanding convertible securities As a % of total no. of shares of the company, assuming full conversion of the convertible securities

Held by promoter/promoter group			
Held by public			
Total	0		0

Warrants:- No. of warrants As a % of total no. of warrants As a % of total no. of shares of the company, assuming full conversion of warrants

Held by promoter/promoter group			
Held by public			
Total	0		0

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities 31308111

For Rathi Steel And Power Ltd.

Ravi Shankar
Director

d	Qualified Foreign Investor	0	0	0	0	0.00	0.00		
e	Any Others(Specify)								
	Sub Total(A)(2)	0	0	0	0	0.00	0.00	0	
	Total Shareholding of Promoter and	4	16112914	16112914	16112914	51.47	51.47	16112914	100.00
(B)	Public shareholding								
1	Institutions								
(a)	Mutual Funds/ UTI	1	686913	686913	686913	2.19	2.19		
(b)	Financial Institutions / Banks	5	2177646	2177646	2177646	6.96	6.96		
(c)	Central Government/ State Government(s)	0	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	6	2864559	2864559	2864559	9.15	9.15		
B 2	Non-institutions								
(a)	Bodies Corporate	141	2048644	1997630	1997630	6.54	6.54		
(b)	Individuals					0.00	0.00		
	Individuals - i. Individual shareholders holding nominal share capital up to Rs 1 lakh	13506	5701028	5180058	5180058	18.21	18.21		
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	91	4131633	4131633	4131633	13.20	13.20		

For Rathi Steel And Power Ltd.

Ravi Kumar
Director

(c)	Any other (specify) Non-resident Indian, OCB	85	368397	368397	1.18	1.18		
(d)	Any other (specify) Clearing Member	21	80936	80936	0.26	0.26		
(d-i)					0.00	0.00		
(d-ii)								
	Sub-Total (B)(2)	13844	12330638	11758654	39.38	39.38		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	13850	15195197	14623213	48.53	48.53		
	TOTAL (A)+(B)	13854	31308111	30736127	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00		#DIV/0!
2	Public				0	0.00		
	Sub-Total (C)	0	0	0	0	0		
	GRAND TOTAL (A)+(B)+(C)	13854	31308111	30736127	100	100.00	16112914	51.47

For Rathi Steel And Power Ltd.

Ravi Kaur
Director

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held			Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (1)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	(ii)	(iii)	(iv)	(v)	(vi) = (v)/(iii) * 100	(vii)	(viii)	(ix)	(x)	(xi)	
1	UOI RATHI UR	740231	2.36	740231	100.00	2.36					
2	PRADEEP KUMAR RATHI PKR	7504988	23.97	7504988	100.00	23.97					
3	ARCHIT SECURITIES PVT LTD	4035223	12.89	4035223	100.00	12.89					
4	DEG LEASING AND HOUSING LIMITED	3832472	12.24	3832472	100.00	12.24					
	TOTAL	16112914	51.47	16112914	100.00	51.47	0	#DIV/0!	0	#DIV/0!	

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For Rathi Steel And Power Ltd.


Ravi Kumar
Director

(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	DENA BANK - INVESTMENT	369161	1.18					369161
2	EXIM SCRIPS DEALERS PVT LTD	601473	1.92					601473
3	PUNJAB NATIONAL BANK	486676	1.55					486676
4	LIC NOMURA MF SPECIAL U	686913	2.19					686913
5	ESKAY ENCLAVE PRIVATE	430300	1.37					430300
6	MODERN FERRO ALLOYS P	500000	1.60					500000
7	SYNDICATE BANK	1091536	3.49					1091536
8	ANIRUDH RATHI	427482	1.37					427482
9	ANUPAMA SARDA	901696	2.88					901696
TOTAL		5495237	17.55					5495237

For Rathi Steel And Power Ltd


Director

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1		0	0.00					
TOTAL		0	0.00	0	#DIV/0!	0	#DIV/0!	0

For Rathi Steel And Power Ltd.



Director

(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
TOTAL		0	0.00

For Raithi Steel And Power Ltd.

Pawan Kumar
Director

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	0	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	ADR	0	0	0.00
2	GDR	0	0	0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00

For Rathi Steel And Power Ltd,

Ramesh Kumar
Director

(11)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00

For Rathi Steel And Power Ltd.

Ravi Kumar
Director